



## IN THE UNITED STATES

## PATENT AND TRADEMARK OFFICE

APPLICANT: Guy G. Riddle COPY OF PAPERS  
ORIGINALLY FILED

SERIAL NO.: 10/020,515

FILING DATE: December 7, 2001

TITLE: System for Terminating Multicast Channel & Data Broadcast When at Least Two Second Endpoints Do Not Transmit Positive Acknowledgement Message to First Endpoint

EXAMINER: Unknown

GROUP ART UNIT: 2151

ATTY. DKT. NO.: 18602-06587

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Box Missing Parts, Washington, D.C. 20231, on the date shown below:

Dated: July 2, 2002

By:   
Michael R. Weber, Reg. No.: 50,951

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF REISSUE APPLICATION**

SIR:

Responsive to the Notice to File Missing Parts of Reissue Application dated March 7, 2002 received in the above-identified patent application, enclosed are:

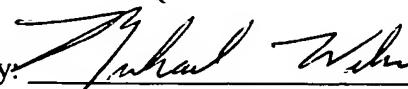
- Response to Notice of Missing Parts;
- a copy of the Notice to File Missing Parts of Reissue Application;
- an original, signed Declaration;

- written consent of the Assignee;
- Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent;
- Preliminary Amendment
- Copy of stamped postcard and Express Mail receipt indicating December 7, 2001 as date of filing; and
- Other: Two checks totaling of \$1,690, to cover the application filing fees, the missing parts surcharge, the extra claims added by preliminary amendment, and a two-month extension of time.

Please note that this application was filed on December 7, 2001, NOT on December 18, 2001, as is indicated on the Filing Receipt. Enclosed is a copy of the Express Mail receipt (Exhibit A) showing the date it was accepted by the U.S. Postal Service. Please ensure that the updated Filing Receipt shows December 7, 2001 to be the filing date of this reissue application.

Respectfully submitted,  
GUY G. RIDDLE

Dated: July 2, 2002

By: 

Michael R. Weber, Reg. No.: 50,951  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306  
Tel.: (415) 875-2494  
Fax.: (415) 281-1350



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PTO/SB/21 (modified)

Approved for use through xx/xx/xx, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

*[Handwritten Signature]* MP\$

0001/PTO Rev. 10/95		U.S. Department of Commerce Patent and Trademark Office	Application Number	10/020,515
			Filing Date	December 7, 2001
			First Named Inventor	Guy G. Riddle
			Group Art Unit Number	2151
			Examiner Name	Unknown
Total Number of Pages in This Submission		15	Attorney Docket Number	18602-06587

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Two checks enclosed	<input type="checkbox"/> Letter to Chief Draftsperson
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Formal Drawing(s):
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> [ ] Sheet(s) of Figures [ ]
<input checked="" type="checkbox"/> Certificate Under 3.73(b)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Reissue Declaration & Power of Attorney	<input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> )
<input checked="" type="checkbox"/> Consent of Assignee	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Information Disclosure Statement & PTO-1449	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Copies of IDS Cited References	<input checked="" type="checkbox"/> Notice to File Missing Parts of Reissue Application
<input type="checkbox"/> Request to Withdraw as Attorneys (in triplicate)	<input checked="" type="checkbox"/> Copy of stamped postcard and Express Mail receipt
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input type="checkbox"/>
<input checked="" type="checkbox"/> Preliminary Amendment: [ 4 ] Page(s)	<input type="checkbox"/>
<input type="checkbox"/> After Final	<input type="checkbox"/>
<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Power of Attorney	<input type="checkbox"/>

**REMARKS:** \*Excludes page count of the cited references

**SIGNATURE OF ATTORNEY OR AGENT**

Signature:	<i>Michael R. Weber</i>		
Attorney/Reg. No.:	Michael R. Weber, Registration No. 50,951	Dated:	July 2, 2002

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box MISSING PARTS, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:	<i>Michael R. Weber</i>		
Typed or Printed Name:	Michael R. Weber	Dated:	July 2, 2002
Express Mail Mailing Number (optional):			



PTO/SB/17 (10-01)(modified)  
OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

002/PTO(modified) Rev. 10/2001	U.S. Department of Commerce Patent and Trademark Office	Complete if Known	
		Application Number	10/020,515
		Filing Date	December 7, 2001
		First Named Inventor	Guy G. Riddle
		Group Art Unit	2151
		Examiner Name	Unknown
		Attorney Docket Number	18602-06587

*COPY OF PAPERS  
ORIGINALLY FILED*

## FEE TRANSMITTAL

### TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$ 1,690)**

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																										
<b>1. The Commissioner is hereby authorized to:</b> <ul style="list-style-type: none"> <li><input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account.</li> <li><input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.<sup>†</sup></li> <li><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</li> </ul>		<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th></th> <th></th> </tr> <tr> <th>Fee Code/Fee</th> <th>Fee Code/Fee</th> <th>Fee Description</th> <th>Fee Due</th> </tr> </thead> <tbody> <tr> <td>105/\$130</td> <td>205/\$65</td> <td>Surcharge - late filing fee or oath</td> <td><b>130</b></td> </tr> <tr> <td>127/\$50</td> <td>227/\$25</td> <td>Surcharge-late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>147/\$2,520</td> <td>147/\$2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>115/\$110</td> <td>215/\$55</td> <td>Extension for response within first month<sup>†</sup></td> <td></td> </tr> <tr> <td>116/\$400</td> <td>216/\$200</td> <td>Extension for response within second month<sup>†</sup></td> <td><b>400</b></td> </tr> <tr> <td>117/\$920</td> <td>217/\$460</td> <td>Extension for response within third month<sup>†</sup></td> <td></td> </tr> <tr> <td>118/\$1,440</td> <td>218/\$720</td> <td>Extension for response within fourth month<sup>†</sup></td> <td></td> </tr> <tr> <td>128/\$1,960</td> <td>228/\$980</td> <td>Extension for response within fifth month<sup>†</sup></td> <td></td> </tr> <tr> <td>119/\$320</td> <td>219/\$160</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>141/\$1,280</td> <td>241/\$640</td> <td>Petition to revive unintentionally abandoned application</td> <td></td> </tr> <tr> <td>142/\$1,280</td> <td>242/\$640</td> <td>Utility Issue Fee (Or Reissue)</td> <td></td> </tr> <tr> <td>143/\$460</td> <td>243/\$230</td> <td>Design Issue Fee</td> <td></td> </tr> <tr> <td>122/\$130</td> <td>122/\$130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>126/\$180</td> <td>126/\$180</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>179/\$740</td> <td>279/\$370</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>581/\$40</td> <td>581/\$40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>146/\$740</td> <td>246/\$370</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>149/\$740</td> <td>249/\$370</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td colspan="2">           Other fee (specify):            Other fee (specify):         </td> <td colspan="2"></td> </tr> <tr> <td colspan="2"></td> <td colspan="2"><b>SUBTOTAL (3) (\$ 530)</b></td> </tr> <tr> <td colspan="2"> <b>2. 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\* Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Michael R. Weber	Reg. Number	50,951
Signature	<i>Michael R. Weber</i>	Date	July 2, 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/020.515	OCT 8 2001 UC103 JUL 09 2002 PATENT & TRADEMARK OFFICE	Guy G. Riddle	18602-06587

00758  
 FENWICK & WEST LLP  
 TWO PALO ALTO SQUARE  
 PALO ALTO, CA 94306

COPY OF PAPERS  
 ORIGINALLY FILED

CONFIRMATION NO. 8767

FORMALITIES LETTER



OC000000007600449\*

Date Mailed: 03/07/2002

## NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

08/05/2002 SDIRETAR1 00000005 192555 10020515

01 FC:109 336.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this reissue application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$84.
  - \$84 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 954.**
- Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.172 requires that all assignees consenting to the reissue application establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b).

07/15/2002 BNGUYEN1 00000045 10020515

01 FC:109 336.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

## PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/15/2002 BNGUYEN1 00000044 10020515

02 FC:108	740.00 OP
03 FC:105	130.00 OP
04 FC:109	84.00 OP

Adjustment date: 08/15/2002 SDIRETAR1 00000005 192555 10020515  
 08/05/2002 SDIRETAR1 00000005 192555 10020515  
 01 FC:109  
 01 FC:109